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Application Number

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing

Total Number of Pages in This Submission

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Application Number	10/824957-Conf. #7461
Filing Date	April 14, 2004
First Named Inventor	Arthur D. Ballard
Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	10884-00023-US

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	EN	ICLOSURES (Check all that app	oly)		
Fee Transm	nittal Form	Drawing(s)	After Allowance Communication to TC		
Fee A	attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendmen	t/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After	Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affida	vits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension o	f Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):		
Express Ab	andonment Request	Request for Refund	PTO/SB/08 Return Postcard		
x Information	Disclosure Statement	CD, Number of CD(s)	Copies of 20 documents		
Certified Co	ppy of Priority	Landscape Table on CD			
	ssing Parts/ Application	Remarks			
Reply to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name	CONNOLLY BOVE	LODGE & HUTZ LLP	-		
Signature	Lina 1. 2	John Schutz			
Printed name	Liza D. Hohenschutz				
Date	August 12,	SooS Reg. No.	33,712		



Docket No.: 10884-00023-US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Arthur D. Ballard et al.

Application No.: 10/824957

Filed: April 14, 2004

Art Unit: N/A

For: DELIVERY SYSTEMS OF HOMOGENEOUS, THERMOREVERSIBLE ALGINATE FILMS

Examiner: Not Yet Assigned

Confirmation No.: 7461

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Application No.: 10/824957 Docket No.: 10884-00023-US

An English language abstract and partial translation of the following published Japanese applications is provided: JP 01-143827, JP 05-43471, JP 09-25228, JP 2001-39863, and JP 2003-299714.

A partial translation of the following published applications is provided: JP 2005-112849 and JP 2005-508359.

WO 01/03677 is an English language counterpart of JP 2003-504326. U.S. Patents 6,340,473 and 6,582,727 also appear to be counterparts of WO 01/03677.

US 2005/0037064 is an English language counterpart of JP 2005-513255.

WO 03/035044 is a counterpart of JP 2005-508359. WO 03/035044 is in the German language, but contains an English language abstract on the front page.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be

Application No.: 10/824957 Docket No.: 10884-00023-US

filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 10884-00016-US. A duplicate copy of this paper is enclosed.

Dated: August 12,3005

Respectfully submitted,

Liza D. Hohenschutz

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Application No. (if known): 10/824957

Attorney Docket No.: 10884-00023-US

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Substitute for form 1449A/B/PTO

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

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Complete if Known				
Application Number 10/824957-Conf. #7461				
Filing Date April 14, 2004				
First Named Inventor Arthur D. Ballard				
Art Unit N/A				
Not Yet Assigned				
10884-00023-US				

·	U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials* No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
/E.S.C		US-2005/0037064	02-17-2005	Basquin et al.		
/E.S.C.		US 6,340,473	01-22-2002	Tanner et al.		
/E.S.C	AC	US 6,582,727	06-24-2003	Tanner et al.		

	FOREIGN PATENT DOCUMENTS						
Examir Initials*		Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
/E.S	.C	ΒA	JP-01-143827	06-06-1989	Fuji Capsule K.K.		
¥		BB	JP-05-43471	02-23-1993	Alfa Wasserman Spa		
		BC	JP-09-25228	01-28-1997	Eisai Co., Ltd.		
		BD	JP-2001-39863	02-13-2001	Fuji Oil Co., Ltd.		
		BE	JP-2003-299714	10-21-2003	Fuji Capsule KK		
		BF	JP-2003-504326	02-04-2003	R. P. Scherer Technologies, Inc.		See BK
		BG_	JP-2004-167084	06-17-2004	Ina Food KK		
		вн	JP-2005-112849	04-28-2005	Fuji Capsule KK		
	T	BI	JP-2005-508359	03-31-2005	Innogel AG		
	1	BJ	JP-2005-513255	05-12-2005	Ulice and Pierre Fabre Medicament		See AA
V		BK	WO-01/03677	01-18-2001	R. P. Scherer Technologies, Inc.		
/E.S	.C	BL	WO-03/035044	05-01-2003	Innogel AG		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Exam Initial	s	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Æ.8	3.C	ĆA	JP01-143827 - English Abstract (Micropatent) and partial English translation	
8		СВ	JP05-43471 - English Abstract (Micropatent) and partial English translation	
		CC	JP09-25228 - English Abstract (Micropatent) and partial English translation	
00000		CD	JP2001-39863 - English Abstract (Micropatent) and partial English translation	
-		CE	JP2003-299714 - English Abstract (Micropatent) and partial English translation	
N.	1	CF	JP2004-167084 - English Abstract (Micropatent) and partial English translation	
₩		CG	JP2005-112849 – partial English translation	
E.S	3.C	СН	JP2005-508359 - partial English translation	

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'Applicant's unique citation designation number (optional).

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Examiner	/Elizabeth S. Capan/	Date	02/01/2008
Signature	, — . —	Considered	